

FRAMEWORK FOR ASSESSING INDICATORS OF ENVIRONMENTAL IMPACTS IN THE TRANSPORT SECTOR

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Abstract

We addressed the following questions: How can environmental impacts of transport be identified? How can the impacts be represented by operational indicators? How can several indicators be jointly considered? And how can indicators be used in planning and decision making? We firstly derived a definition of “indicator of environmental impact in the transport sector”. The concept of “chain of causalities” between a source and a final target is developed, as a common reference for indicators and their assessment. We derived criteria and methods for the assessment and selection of indicators, in terms of measurement, monitoring and management. Finally, the application of the criteria is exemplified for individual indicators of seven chains of causalities and for selected aggregated indicators.

INTRODUCTION

Environmental issues attract increasing attention in decision-making processes concerning transport policies, plans, programmes and projects as well as in the development of transport technologies. Indicators are increasingly being used to assess the sustainability of transport and facilitate decision making. However, potential users of indicators are faced with a wealth of indicator sets, differing greatly in scientific underpinning and applicability in practice. Also, approaches to the development and use of indicators vary greatly between user groups. There seems to be a need for development of approaches to help decision makers and scientists to choose suitable indicator sets for various purposes.

The work reported here, based on existing knowledge, aims to contribute to the development of harmonised methods for building better environmental indicators relevant to transport. The paper presents parts of the output of a unique collaboration among a network of European scientists. The network comprised specialists in some environmental impacts (‘natural’ scientists), in decision-making processes (‘policy’ scientists) and in transport and environment planning (‘planning’ scientists) (1). The paper does not discuss in detail the actual indicators but develops a framework for the construction, assessment and use of environmental indicators. We define the term indicators, propose criteria for their assessment and selection, systematize environmental impacts and assess a selection of existing indicators.

CONCEPT AND DEFINITION OF INDICATORS

The term ‘indicators’ can be understood and used in a number of ways. An in-depth review of the literature was conducted to identify relevant definitions of the term ‘indicator’ - see details in (2). The purpose of the review was not to select a single definition, but to help identify the key functions

that indicators can play, and to reveal the extent to which context-specific factors should be allowed to influence the definition of indicators.

An indicator may be categorised in the following manner:

- A marker or *sentinel*, indicating the presence or absence of something (3-4)
- A measurement tool, indicating variations along important dimensions of the indicated phenomenon (3-13)
- A decision support tool allowing to take certain action (14-17)
- A combination of the above (14, 18)

Most of the definitions consider an indicator as a measurement tool but some definitions include considerations about how such measurement tools can be used: to draw attention, to quantify objectives, to be used by decision makers, to help managers, to measure progress. The marker definition is also a measurement tool, but a simplified one. The key notion is *representation*. An indicator has to represent something in an adequate and simplified manner, otherwise it serves little purpose. Representation assumes connections between three elements: the item being represented, the item representing it (the indicator), and the usage domain for which the representation has to be valid and acceptable. The measurement aspect seems fundamental to any indicator. The usage domain or application is essential for indicators to be applied correctly according to the purpose.

The following simple definitions are used in the present study:

- An indicator is a variable, based on measurements, representing as accurately as possible and necessary a phenomenon of interest.
- An environmental impact indicator is a variable, based on measurements, which represents an impact of human activity on the environment as accurately as possible and necessary.
- An indicator of environmental sustainability in transport is a variable, based on measurements, which represents potential or actual impacts on the environment - or factors that may cause such impacts - due to transport, as accurately as possible and necessary.

CRITERIA FOR INDICATOR ASSESSMENT AND SELECTION

How to identify appropriate indicators or choose among possible ones (19)? According to several reviews (e.g. 20-23), often only a narrow range of indicators are used, and limited justification for the choice of particular indicators is typically given. Few systematic guidelines for choosing appropriate indicators across the area of environmentally sustainable transport systems and policies exist.

We address ways to identify, assess and select specific indicators, using *criteria* of indicator quality and appropriateness. ‘Criteria’ refers to the general notion of a principle or standard on which a judgment may be based. These elements have been built from an extensive compilation of existing literature. Several authors note that indicator selection should primarily be driven by *the questions that the indicators are supposed to answer* (e.g. 12, 20, 24). Most of the references were found in the area of environment, sustainability, or public health assessment (10, 25-29). Indicator criteria selection methodology seems particularly advanced in areas such as marine science (e.g. 30), agricultural research (31), and forestry management (32). Performance management literature addresses criteria to derive indicators at the level or organizations (e.g. 33). Some useful references in the transport area were also found (e.g. 34, 35).

The majority of the publications discuss indicator criteria, and provide *lists* of such criteria for use in the selection of indicators in various domains such as environmental assessment or sustainability. The lists include anything from 4 to over 30 criteria. Many criteria are commonly mentioned even across domains. Some studies report actual indicators that were selected based on the criteria lists, while others provide the lists as more general reviews or guidelines. It is not so common to find

detailed accounts reporting how indicators were actually assessed and selected by using criteria - but see (27) or (36).

Most references provide some kind of *definition* of each criterion but very often the definitions are limited to only a headline or some informal comment. The definitions often appear similar but are not at all fully corresponding across references. Only few studies of the ‘criteria list’ type refer directly to more rigid definitions from for example basic scientific literature, although some studies do discuss selected criteria definitions in some depth - as for example (37). Interestingly, few if any sources define exactly what they understand by a ‘criterion’ in terms of indicator selection, although usually it is clear from the context what is meant. The main idea is to evaluate indicators with regard to some capacity expressed by the criterion. It is most often done in a qualitative way, or by using ordinal scores, rarely with more sophisticated numerical procedures - but see. e.g. (32).

It is characteristic that almost all identified references seek to *distinguish and group* criteria according to various functions of indicators, such as measurement and decision-making related functions. A typical distinction is between ‘scientific’ versus ‘policy related’ criteria. However, the groups are most often distinguished in a way that is quite unique to each particular reference.

A limited number of studies (27, 38-41) present systematic *methodologies* for the assessment and selection of indicators. In such studies application of indicator criteria is often included as a distinct step in a multi-stage process. Such references are particularly valuable for this work, even if they address other fields than transport and environment.

A few *meta-reviews* of indicator criteria literature were found, such as (42) for environmental monitoring, (29) for environmental assessment more generally, (27) for human health, and (more limited) (35) for sustainable transport in the UK.

Finally, three categories of criteria can be distinguished: a) Representation (or representativity), b) Operation and c) Policy application.

In the present study, the number of criteria has been limited to ten. Each criterion has been defined in a way to minimize overlaps and redundancy (see 19, pp. 126-130 for details, examples and counter-examples):

Representation (‘measurement’):

- Validity: A valid indicator must actually measure the issue or factor it is supposed to measure (28)
- Reliability: A reliable indicator must give the same value if its measurement were repeated in the same way on the same population and at almost the same time (28)
- Sensitivity: A sensitive indicator must be able to reveal important changes in the factor of interest (28)

Operation (‘monitoring’):

- Measurability: A measurable indicator should be straight-forward and relatively inexpensive to measure (25)
- Data availability: Indicators should be based on (input) data that are readily available or can be made available at reasonable cost and time (10)
- Ethical concerns: An indicator must comply with fundamental human rights and must require only data that are consistent with morals, beliefs or values of the population (28)

Policy application (‘management’):

- Transparency: A transparent indicator is one which is feasible to understand and possible to reproduce for intended users

- Interpretability: An interpretable indicator allows an intuitive and unambiguous reading
- Target relevance: A target relevant indicator must measure performance with regard to articulated goals objectives, targets or thresholds
- Actionability: An actionable indicator is one which measures factors that can be changed or influenced directly by management or policy action

IDENTIFICATION AND STRUCTURING OF IMPACTS

The environment is often taken into account through the consideration of environmental or ecological *impacts*. Impacts are often described in the literature (for instance 44-54), as in public surveys conducted at a national (55) or international level (56). Their definition is typically neither clear nor precise. The lists are often heterogeneous and lack a coherent structure such as the widely accepted PSR, or DPSIR, system (Driving force, Pressure, State, Impact, Response; see (57)). For example, source indicators (such as air pollution) are mingled with state indicators (such as water quality) and impact indicators (such as visual effects). Whereas climate change, photochemical pollution and noise are almost always included in the lists, less commonly recognized features such as soil erosion, vibration, light pollution, hydrologic and hydraulic risks, odours, soiling, or low visibility are rarely mentioned. Dimming, fire risk or electromagnetic pollution are not mentioned at all in the references studied (43-56). Some impacts listed cover a wide range of environmental issues, such as air pollution, soil protection or landscape. Some lists include redundant indicators, such as both "biodiversity" and "fauna and flora", or both "population" and "human health".

One of the most typical ways to structure descriptions of the environmental issue consists of using *objectives* as proposed by (62) and applied by (63) to the environment. It has the main advantage of using a systemic approach, with a clear logic (geographical scale / main targets / impacts). On the same line, (64) stressed the need to define first a matrix view for a sustainable and viable city to provide a normative framework for choosing the relevant indicators. Then, they went on to define almost 200 indicators of a sustainable city for Flemish urban areas. They later observed that each indicator must be connected very clearly with an item of the matrix view.

It should be noted that what counts as significant environmental impacts depends on the cultural context; see e.g. (58) for Africa and (59-60) for forests and acid rain in Germany. More generally, (61) shows how the concept of environment itself differs between holistic societies, such as Totonaque, Inuit or Badui ones, and individualistic / analytic societies such as Western ones.

For describing the interactions between society and the environment, several approaches have been proposed: DPSIR mentioned above, midpoint / endpoint level within the Life cycle impact assessment (65), or the concept of causal network (29), that considers multiple parallel chains leading from driving-force indicators to pressure, state, impact and finally response indicators.

The concept of 'Chain of causalities'

With the aim to use a systemic approach to environmental issues, encompassing all the environmental impacts and all the potential objectives of an environmental policy, we propose to extend the existing pressure-state-impact structure, or the midpoint / endpoint structure, to the concept of chain of causalities between a cause and a final target, with a varying number of successive stages in between (66). This concept is much wider than a stock or flow problem: any type of causal process can be taken into account, as cultural, psychological, psycho-physical, biological and physical. The chains have to describe all the impacts on the environment, but at the same time to avoid redundancy: one and the same process should only be part of one single chain. A chain of causalities can be described through the following steps (see Figure 1):

- The element(s) of a field of human activity (the transport system or any other sector), which is at the beginning of the process, taking into account the life cycle approach, i.e. considering all the

sub-activities involved. In the case of the transport sector, three main subsystems are involved (infrastructure, energy use, and vehicles), and for each of them five types of activities affecting the environment (production, existence, use, maintenance, destruction).

- The final targets: (51) and (52) consider three targets (nature, humans, man-made heritage) and a pseudo-target, the earth. In addition the Eco-indicator approach (67, 68) includes three types of endpoints: resources, ecosystem quality and human health. The two first are subdivisions of the target 'nature'. The World Health Organization (69) defines human health as "*a state of complete physical, mental and social well-being and not merely the absence of disease or infirmity*". Therefore it is useful to distinguish health in its restricted meaning (absence of disease or infirmity) from so-called human well-being, because the processes are often very different. Finally we get six targets: the resources, the ecosystems, the human health in its restricted meaning, the human well-being, the man-made heritage, and the earth.
- The in-between elements, i.e. the chain of causalities between human activity and the final targets, to be described in detail. To design impact indicators, it is important to know the scientific milieu able to understand the process, and therefore to determine the scientific disciplines to be involved. It is important also to know if the process is linear or not. The reversibility is a major parameter from the sustainability point of view, where we have to distinguish the reversibility for individuals and for species. Finally the distance and time scales indicate who is concerned (local or global, short, medium or long term impact). The process can be split up into several processes, described in a homogeneous way, depending on the particular chain characteristics.

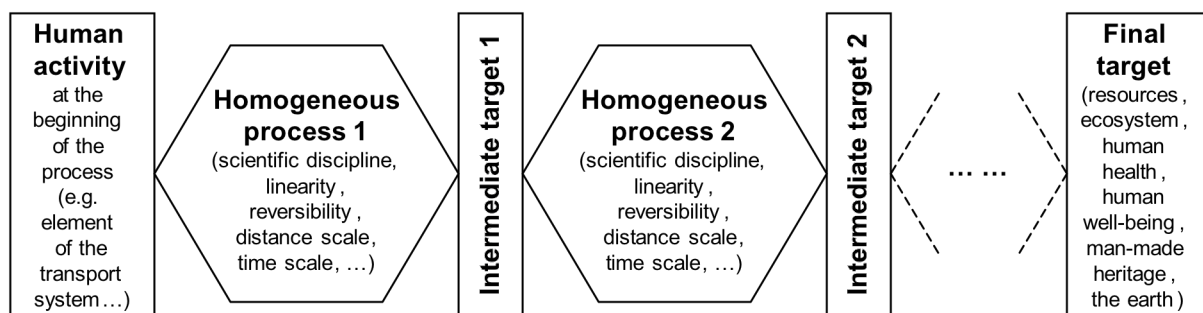


FIGURE 1 Causal chain diagram.

A chain of causalities can thus be defined as "a homogeneous process or a series of homogeneous processes between the transport system and a final target of the impacts on the environment". The description of all the chains allows us thus to express clearly what a potential indicator does measure and what it does not measure, and on which scientific mechanisms it should be based. The concept of chain of causalities allows us finally to give a precise definition to the expression "impact on the environment".

Identified chains of causalities for transport and environment

As the result of a thorough review of the 14 major references found to provide comprehensive overviews of transport impacts on the environment, organized according to our chain of causality concept as described above, we have developed a typology of 49 chains of causalities of the environmental impacts (especially due to the transport system): see Table 1. A detailed description of all chains is given in (1, annex 5 and 6, pp. 303-346). The chains could be detailed further by dividing a chain into two or more chains, if it is considered as not homogeneous in terms of process or targets. In addition some chains may be missing.

TABLE 1 Hierarchy of the 49 chains of causalities.**Noise and vibrations**

- . Noise:
 - . Disappearance of quiet areas (chain 1)
 - . Annoyance and sleep disturbance to people due to noise (chain 2)
 - . Effects on human health (restricted meaning) of noise (chain 3)
 - . Noise and wildlife (chain 4)
- . Vibrations (chain 5)

Accidents

- . Effect of traffic accidents on human health (chain 6)
- . Animal collision: Animal fatalities (chain 7)

Air pollution

- . Sensitive air pollution
 - . Odours (chain 8)
 - . Soiling (chain 9)
 - . Visibility (chain 10)
- . Direct (restricted) toxicity of air pollutants
 - . Direct restricted effects on human health of air pollutants (chain 11)
 - . Direct ecotoxicity on fauna and flora of air pollutants (chain 12)
- . Photochemical pollution
 - . Health effects of photochemical pollution (chain 13)
 - . Loss of crop productivity due to photochemical pollution (chain 14)
 - . Ecotoxicity on fauna and flora of photochemical pollution (chain 15)
 - . Loss of cultural heritage due to photochemical pollution (chain 16)
 - . (Secondary effects: greenhouse gas, acidification)
- . Acidification
 - . Decrease of ecosystem health, loss of biodiversity due to acidification (chain 17)
 - . Deterioration of historical buildings & other cultural assets due to acidif. (chain 18)
- . Eutrophication (chain 19)
- . Dimming (chain 20)
- . Ozone depletion
 - . Health effects of ozone depletion (chain 21)
 - . Ecotoxicity on fauna and flora of stratospheric ozone depletion (chain 22)

Soil and water pollution

- . Pollution of soil, surface waters and groundwater
 - . Effects on ecosystem health of pollution of soil, surface and groundwater (chain 23)
 - . Health effects of pollution of soil, surface waters and groundwater (chain 24)
 - . Recreational areas forbidden due to pollution of soil and surface waters (chain 25)
- . Maritime pollution
 - . Effects on ecosystem health of maritime pollution (chain 26)
 - . Health effects of maritime pollution (chain 27)
 - . Recreational areas forbidden due to maritime pollution (chain 28)
- . Hydraulic changes and risks
 - . Hydraulic changes (chain 29)
 - . Hydraulic risk (chain 30)

Impacts on land

- . Land take
 - . Loss of natural habitats due to land take (chain 31)
 - . Degradation of ecosystems due to land take (chain 32)
 - . Modification of outdoor recreation areas due to land take (chain 33)
 - . Loss of cultural heritage due to land take (chain 34)
- . Habitat fragmentation
 - . Loss of ecosystem health and of biodiversity due to habitat fragmentation (chain 35)
 - . Reduction of living areas of people due to fragmentation (chain 36)
- . Soil erosion (chain 37)
- . Visual qualities of landscape / townscape (chain 38)

Non-renewable resource use and waste handling

- . Non-renewable resource use (chain 39)
- . Non-recyclable waste (chain 40)
- . Direct waste from vehicles (chain 41)

Greenhouse effect (chain 42)**Other impacts**

- . Electromagnetic pollution
 - . Health effects of electromagnetic pollution (chain 43)
 - . Effects on ecosystem health of electromagnetic pollution (chain 44)
- . Light pollution (chain 45)
- . Introduction of invasive alien species (chain 46)
- . Introduction of illnesses (chain 47)
- . Fire risk (chain 48)
- . Technological hazards (chain 49)

Limitations to the aggregation of impacts

To be practical, the number of categories should not be plethoric. Moreover, some impacts can be merged, for instance when the scientific knowledge necessary to build indicators of different impacts is similar and when the main characteristics of the corresponding chains are also similar. In addition, chains considered as minor could be deleted. To allow users to perform such simplifications, the chain's structure has to be as detailed as possible: It is easier to merge and delete than to add processes.

Nevertheless it may be tempting to aggregate the chains of causalities further according to typical global or top-down classifications of the environment pillar of sustainable development, such as the following four types:

- Related to life environment/natural resource with two main sub-categories: A1) for the well-being, the quality of life, and A2) for the resources needed for life, the natural heritage, the conditions of a long term development
- Related to time scale with two main sub-categories: B1) for present generations – or short term, and B2) for future generations – or long term
- Related to reversibility, with two main sub-categories: C1) reversible, and C2) irreversible
- Related to their local (D1) or global (D2) character

However, when we try to classify our 49 chains of causalities according to A1/A2, B1/B2, C1/C2 or D1/D2, we find that some chains cannot be differentiated according to this binary classification. For instance, most of the impacts on the ecosystems belong both to A1 and A2, and both to B1 and B2. Also, the impacts on cultural heritage concern both present and future generations. If we consider the irreversible character for the society, the dichotomy reversible/irreversible is only imaginary: several chains of causalities, and important ones indeed, are neither totally reversible nor totally irreversible. The greenhouse effect, e.g., may well be reversible, but only after centuries, and is therefore irreversible at the human scale.

Another attempt to aggregate the 49 chains aims to correspond to usual impact structures according to (44-56) and to allow a simpler presentation of the whole structure. It leads to merging them into 27 aggregated chains, and then into 8 groups (see Table 1). When we try to classify these groups according to top-down classifications, most of the 27 aggregated chains are combining detailed chains belonging to both categories: the four axes A to D are continuous rather than discrete.

The top-down approaches of the environment through simple and discrete classifications seem not to correspond to the reality of the impacts on the environment and cannot be used to characterize some chains of causalities. Reality is more complex than all-embracing approaches.

ASSESSMENT OF POTENTIAL INDICATORS WITHIN SELECTED IMPACTS

To derive indicators for each impact, a procedure is proposed where candidate indicators are identified from the literature and assessed according to the ten criteria described earlier. If no suitable indicators are found, new ones are proposed and assessed with the same criteria. Ideally this approach is to be undertaken in iterative series, involving subsequent circles of experts and indicator users to identify candidate indicators and assess them, to reach a robust set (19, pp. 136-139, 41).

To test the usefulness of the ten criteria for the selection of indicators, we adopted a limited approach where small internal working groups applied the criteria on indicators associated to seven out of the 49 chains of causalities – see details in (70). The set of seven chains resulted from a selection of chains meeting the following requirements: i) to be of value for policy makers from European to regional level and for academics; ii) to be pedagogic; iii) to include causality chains that are qualitatively different and well described; iv) some chains should be well known and at least one should be little known. The test was performed for 88 environmental indicators reported in the literature and 2 novel indicators: See table 2.

TABLE 2 Evaluation of indicators for 7 chains of causalities against 10 criteria. Range (lowest–highest score). Data from (70).

Chain of causalities	Number of indicators assessed	Category of criteria									
		Representation			Operation			Application			
		Validity	Reliability	Sensitivity	Measurability	Data availability	Ethical concerns	Transparency	Interpretability	Target relevance	Actionability
Noise as annoyance to humans	26	xx- xxx	xxxx- xxxx	x- xxx	xx- xx	x- xx	xxxx- xxxx	xx- xxxx	xx- xxxx	x- xxx	xx- xxxx
Direct toxicity of air pollutants	13	x- xxx	xxxx- xxxx	xx- xxxx	xxx- xxx	xx- xx	xxxx- xxxx	xxxx- xxxx	xx- xx	xxx- xxx	xx- xx
Loss of cultural heritage due to land take	2	x- xxx	xx- xx	xx- xxx	x- xxx	x- xx	xx- xxx	x- xxx	xx- xxx	xx- xx	xx- xx
Natural habitat fragmentation	14	x- xxxx	xxxx- xxxx	x- xxxx	x- xxxx	x- xxxx	xxxx- xxxx	xx- xxxx	xx- xxxx	x- x	x- x
Non-renewable resource use	5	x- xxx	xx- xx	x- xxx	xx- xxx	xxx- xxx	xxxx- xxxx	n.a.	x- xx	x- x	x- x
Waste	24	x- xxx	x- xxx	xx- xxxx	x- xxxx	x- xxx	xxxx- xxxx	xx- xxxx	xxx- xxxx	x- xxxx	x- xxx
Greenhouse effect	6	xx- xxx	xxxx- xxxx	xxx- xxx	xxx- xxxx	xx- xxxx	xxxx- xxxx	xxx- xxxx	xx- xxxx	xxx- xxxx	xx- xxxx

Based on knowledge and experience of one or several experts in working groups, each of the 90 indicators was assessed as poor, limited, good or excellent according to each of the ten criteria listed above. Such a procedure can be expected to be followed when indicators are to be selected or constructed in practice. The exercise gave rise to some interesting notions (70 and Table 2): For all but one of the causality chains, all indicators were assessed as excellent as regards the criterion “ethical concerns”. High scores were often given for the degree of “reliability”, “transparency” and “interpretability”. Low scores were often given for “validity”, “measurability”, “data availability”, “target relevance” and “actionability”. To demonstrate the great variety in the outcome, two extreme examples are given (Table 3): Of the seven chains tested, “Non-renewable resource use” was the chain receiving the lowest scores, and “Greenhouse effect” the chain receiving the highest scores. This may mirror the massive research activity devoted to this last environmental issue as opposed to less focused environmental issues such as non-renewable resources.

TABLE 3 Evaluation of indicators for one causality chain with many low scores and one chain with many high scores in the criteria assessment. Data from (70).

x=poor; xx=limited; xxx=good; xxxx=excellent		Category of criteria									
		Representation			Operation			Application			
Chain	Indicator	Validity	Reliability	Sensitivity	Measurability	Data availability	Ethical concerns	Transparency	Interpretability	Target relevance	Actionability
Non-renewable resource (chain 39)	Indicators based on energy and mass	x	xx	x	xxx	xxx	xxxx	n.a.	xx	x	x
	Indicators based on the relationship between use and deposits	xx	xx	xx	xx	xxx	xxxx	n.a.	xx	x	x
	Indicators based on the future consequences of resource extractions	xxx	xx	xxx	xx	xxx	xxxx	n.a.	xx	x	x
	Indicators based on energy consumption and entropy production	xx	xx	xx	xx	xxx	xxxx	n.a.	x	x	x
	Indicators based on the marginal increase in costs due to the extraction of a resource	xx	xx	xx	xx	xxx	xxxx	n.a.	xx	x	x
Greenhouse effect (chain 42)	Global Warming Potential	xxx	xxxx	xxx	xxx	xxx	xxxx	xxxx	xxxx	xxx	xxx
	Mass of CO ₂ emitted	xx	xxxx	xxx	xxxx	xxxx	xxxx	xxxx	xxxx	xxx	xxx
	Global Temperature Change Potential (pulse)	xxx	xxxx	xxx	xxx	xxx	xxxx	xxxx	xxxx	xxxx	xxx
	Global Temperature Change Potential (sustained)	xxx	xxxx	xxx	xxx	xxx	xxxx	xxxx	xxxx	xxxx	xxx
	Carbon dioxide Equivalent Warming Number	xxx	xxxx	xxx	xxx	xx	xxxx	xxxx	xxxx	xxx	xx
	Health damages due to climate variations	xx	xxxx	xxx	xxx	xxx	xxxx	xxx	xx	xxxx	xxxx

The causality chain “Loss of cultural heritage due to land take” was found to lack a recognized indicator (70). A process to construct an indicator was therefore developed. The outcome was a novel indicator, stating the loss or alteration of the volume (m³) of the integral entity of cultural heritage due to land take. The indicator was intended for use at two legal levels: “strictly protected areas” and areas lacking absolute protection. Interestingly, the outcome of the assessment against the ten criteria often differed between the two legal levels. The indicator was not judged excellent for any of the ten criteria. The applicability of this rough, volume-based, indicator remains to be tested in practice.

It should be kept in mind that the test of the applicability of the criteria was performed by a separate expert group for each of the seven causality chains tested. Also, the test was limited due to the low number of experts involved, and hence an increased risk of dominance of subjective experience. The outcomes of the assessment do not lend themselves to any deeper comparison between causality chains. Nor were the indicators valued in real applications. The exercise however served

to demonstrate the practicability of the criteria set and the differences in ease with which various types of indicators can be selected (or constructed) in planning situations.

The same assessment was made by (71, pp. 238-245) for 7 indicators assumed to measure overall environmental sustainability and resulting from the application of methods for building aggregate or composite indicators: Ecological Scarcity method (72) for Switzerland, ReCiPe (66) for Europe, Ecological Footprint (73), Material Input per Service-unit or MIPS (74), economic approaches (stated preferences, revealed preferences, or damage oriented). Here again, all indicators were assessed as excellent as regards the criterion “ethical concerns”. All but two indicators were found good or excellent concerning “sensitivity” and “measurability”. Four of the seven indicators were assessed as “poor” or “limited” against at least five of the ten criteria. Indicators’ compliance with the criteria was low for “interpretability” (only “poor” or “limited”) and especially for “actionability” (mostly “poor”).

The conclusion of these exercises is that the tested existing indicators of environmental sustainability vary greatly in quality as assessed against the ten criteria.

CONCLUSION

Based on collaborative work in a European research network, the paper has addressed some of the key steps in the development and application of indicators of the environmental sustainability of transport systems and policies. A main concern has been to avoid falling prey to pre given ideas of how indicators of environmental sustainability for transport should be defined, for example by using only predefined policy objectives, or by adopting conventional ‘top-down’ concepts from the sustainability literature.

The first key step is the identification of the environmental impacts. For that purpose, we developed a new approach based on the concept of “chain of causalities”, a set of consecutive cause–effect relationships originating in a specific activity in the transport system (or any other human activity) and ending in a final target of each of the resulting environmental impacts. Each chain comprises one or several steps. We identified forty-nine causal chains and these should form a core of a systematic framework of environmental description and assessment for transport.

Based on the description of the context or the field of our research, we derived ten criteria for the assessment and selection of environmentally sustainable transport indicators, classified into three categories: measurement or representation, monitoring or operation, and management or application. Using the ten criteria, we exemplified indicator assessment for seven causality chains and a number of aggregated indicators. However, the testing of the method reported in this paper has adopted only a first and limited version of the recommended methodology. The full application would involve assessment carried out by teams representative of the expert and user communities.

Having so demonstrated a first attempt to apply the methodology, we recommend further work to build a solid and comprehensive approach for indicators of environmental sustainability in transport. This should include further scientific work in the appropriate scientific disciplines to derive indicators for impacts that have received limited attention or for emerging environmental issues. This work should be inter-disciplinary and sometimes trans-disciplinary and involve stakeholders to assess candidate indicators within each impact area. Development work can be enhanced when performed for actual policy or project applications, e.g. with regard to road, rail, sea and air transport, and with regard to building, operation and disposal of the associated transport systems. Considering Man’s mounting pressure on the environment, we believe that such work will play an essential role in making transport systems more environmentally sustainable in the future.

Also, approaches similar to the one reported here could be developed for impacts of transport on other sustainability dimensions such as economic or social outcomes. Similar approaches could also be tested on environmental impacts from other sources than transport.

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